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Practitioner's Docket No. 700157-48900 C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: M. Makrigiorgos
Title: MUTATION SCANNING ARRAY, AND METHODS OF USE THEREOF
Serial No.: 09/858,200
Filing Date: May 15, 2001

Examiner:
Group:

Box Missing Parts
Assistant Commissioner for Patents
Washington D.C. 20231

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)

I hereby certify that this correspondence:

Completion of Filing Requirements (5 pp)
Executed Declaration (3 pp)
Sequence Listing (1 p) and diskette
Amendment under CFR Sec. 1.825 (2 pp)
Sequence Listing Statement (1 p)
Check for \$840.00 and
Return receipt postcard

is on the date shown below being:

MAILING

- X deposited with the United States Postal Service and with sufficient postage as first class mail in an envelope addressed to US Patent and Trademark Office, Assignment Division, Box Assignments - CG-4, 1213 Jefferson Davis highway, Suite 320, Washington D.C. 20231.

Patricia W. Turner
Signature

Date: October 15, 2001

Patricia W. Turner
(type or print name of person certifying)



Practitioner's Docket No. 48900 C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: M. Makrigiorgos

Application No.: 09/858,200

Group No.:

Filed: May 15, 2001

Examiner:

For: MUTATION SCANNING ARRAY, AND METHODS OF USE THEREOF

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS
- NONPROVISIONAL APPLICATION**

(check and complete this item, if applicable)

- I. ☒ This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 13, 2001.

☒ A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

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Date: 10.15.01

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office at (703) ____ - ____.

Patricia W. Turner
Signature

Patricia W. Turner
(type or print name of person certifying)

(complete (c) or (d), if applicable)

Attached is a

- (c) ☐ Statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the declaration.
- (d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the PTO to obtain the filing date.

AMENDMENT CANCELLING CLAIMS

III. ☐ Cancel claims _____ inclusive.

SEQUENCE LISTING

IV.

☒ Attached please find:

- (a) a sequence listing, diskette, statement under C.F.R. § 1.821 (g), and amendment under C.F.R. §1.825

TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

- V. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

COMPLETION FEES

VI.

1. Filing fee

- ☒ original patent application
(37 C.F.R. Section 1.16(a)--\$710.00; small entity--\$355) \$ 710.00
- ☐ design application
(37 C.F.R. Section 1.16(f)--\$320; small entity--\$160) \$ _____

2. Fees for claims

- ☐ each independent claim in excess of 3
(37 C.F.R. Section 1.16(b)--\$80; small entity--\$40) \$ _____
- ☐ each claim in excess of 20
(37 C.F.R. Section 1.16(c)--\$18; small entity--\$9) \$ _____
- ☐ multiple dependent claim(s)
(37 C.F.R. Section 1.16(d)--\$270; small entity--\$135) \$ _____

3. Surcharge fees

- ☒ late payment of filing fee and/or late filing of original declaration or oath
(37 C.F.R. Section 1.16(e)--\$130; small entity--\$65) \$ 130.00

4. ☐ Petition and fee for filing by other than
all the inventors or a person not the inventor
(37 C.F.R. Sections 1.17(i) and 1.47--\$130) \$ _____

5. ☐ Fee for processing an application filed with
a specification in a non-English language
(37 C.F.R. Sections 1.17(k) and 1.52(d)--\$130) \$ _____

6. ☐ Fee for processing and retention of application
(37 C.F.R. Sections 1.21(l) and 1.53(d)--\$130) \$ _____

Total completion fees \$ 840.00

EXTENSION OF TIME

VII.

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. Section 1.17(a)(1)-(4), for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/> one month	\$110	\$55
<input type="checkbox"/> two months	\$390	\$195
<input type="checkbox"/> three months	\$890	\$445
<input type="checkbox"/> four months	\$1,390	\$695

Fee \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VIII.

The total fee due is

Completion fee(s) \$ 840.00
Extension fee (if any) \$ _____

Total Fee Due \$ 840.00

PAYMENT OF FEES

IX.

☒ Enclosed is a check in the amount of \$ 840.00.

☐ Charge Account No. _____ in the amount of \$ _____.
A duplicate of this request is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

X. ☒ The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-0850.

☒ 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

☒ 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)

☒ 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☒ 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a)).

☒ 37 C.F.R. Section 1.17 (application processing fees)

☐ 37 C.F.R. Section 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. Section 1.311(b))


SIGNATURE OF PRACTITIONER

Reg. No.: 30,628

Tel. No.: (617) 345-6054

Customer No.: 26248

Ronald I. Eisenstein
NIXON PEABODY LLP
101 Federal Street
Boston, MA 02110

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#6

Practitioner's Docket No. 700157-48900 C

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Makrigiorgos

Application No.: 09/858,200

Group No.:

Filed: May 15, 2001

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For: MUTATION SCANNING ARRAY, AND METHODS OF USE THEREOF

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Assistant Commissioner for Patents

Washington, D.C. 20231

**SUBMISSION OF "SEQUENCE LISTING," COMPUTER READABLE COPY,
AND/OR AMENDMENT PERTAINING THERETO
FOR BIOTECHNOLOGY INVENTION CONTAINING NUCLEOTIDE
AND/OR AMINO ACID SEQUENCE**

IDENTIFICATION OF PERSON MAKING STATEMENT

2. I, Ronald I. Eisenstein, state the following:

ITEMS BEING SUBMITTED

3. Submitted herewith is/are:

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Date: 10/15/01

Patricia W. Turner
Signature Patricia W. Turner

**STATEMENT THAT "SEQUENCE LISTING"
AND COMPUTER READABLE COPY ARE THE SAME
AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER**

4. I hereby state:

All papers accompanying this submission, or for which a request for transfer from applicants' other application, introduce no new matter.

The "Sequence Listing" and computer readable copy are the same.

If any additional extension and/or fee is required, charge Account No. 50-0850.



SIGNATURE OF PRACTITIONER

Reg. No. 30,628

Ronald I. Eisenstein

Tel. No.: (617) 345-6054

NIXON PEABODY LLP
101 Federal Street

Boston, MA 02110